

# VARDHMAN HOLDINGS LIMITED

CHANDIGARH ROAD LUDHIANA-141010, PUNJAB T: +91-161-2228943-48 F: +91-0161-2601048 E: secretarial.lud@vardhman.com

# Ref. VHL: SCY: SEP: 2023-24

# Dated: 08-Sep-2023

BSE Limited,	The National Stock Exchange of India Limited,
1 <sup>st</sup> Floor, New Trading Ring,	Exchange Plaza, Bandra-Kurla Complex,
Rotunda Building, P.J Towers,	Bandra (East),
Dalal Street, Mumbai-400 001.	Mumbai-400 051
Scrip Code: 500439	Scrip Code: VHL

# SUBJECT: BUSINESS RESPONSIBILITY AND SUSTAINABILITY REPORT

Dear Sir,

Pursuant to Regulation 34(2)(f) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Business Responsibility and Sustainability Report for the Financial Year 2022-23, which also forms part of the 59<sup>th</sup> Annual Report of the Company.

Please take the same on records.

Thanking you,

Yours faithfully, For Vardhman Holdings Limited

Swati Mangla (Company Secretary)

YARNS | FABRICS | THREADS | GARMENTS | FIBRES | STEELS

SECTION A:

### Annexure I

# **Business Responsibility & Sustainability Report**

**GENERAL DISCLOSURES** 

I. Details of the listed entity	
1. Corporate Identity Number (CIN) of the Listed Entity	:L17111PB1962PLC002463
2. Name of the Listed Entity	: Vardhman Holdings Limited
3. Year of incorporation	:1962
4. Registered office address	: Vardhman Premises, Chandigarh Road, Ludhiana-141010
5. Corporate address	: Vardhman Premises, Chandigarh Road, Ludhiana- 141010
6. E-mail	: secretarial.lud@vardhman.com
7. Telephone	:+91-161-2228943-48
8. Website	: <u>www.vardhman.com</u>
9. Financial year for which reporting is being done	: FY 2022-23
10. Name of the Stock Exchange(s) where shares are listed	: National Stock Exchange of India Limited and BSE Limited.
11. Paid-up Capital	:₹ 319.15 Lakhs

12. Name and contact details (telephone, email address) of the person who may be contacted in case of any queries on the BRSR report:

Sr. No.	Particulars	Details
1.	DIN number	07650988
2.	Name	Vikas Kumar
3.	Designation	Director
4.	Telephone number	0161-2228943
5.	Email id	secretarial.lud@vardhman.com

13. Reporting boundary - Are the disclosures under this report made on a standalone basis (i.e. only for the entity) or on a consolidated basis (i.e. for the entity and all the entities which form a part of its consolidated financial statements, taken together):

Standalone basis.

### II. Products/services:

#### 14. Details of business activities (accounting for 90% of the turnover):

Sr.	Description of Main	Description of Business	% of Turnover of the entity
No.	Activity	Activity	
1.	The Company is a Non-deposit taking Systemically important NBFC (NBFC- ND-SI) registered with Reserve Bank of India.	The Company operates primarily as an Investment Company, not accepting any public deposits.	The Company's primary sources of income consist of Interest income, Dividend income and gain on sale of investments etc. from the investments made by the Company and this constitutes 100% of the company's turnover.

#### 15. Products/Services sold by the entity (accounting for 90% of the entity's Turnover):

Sr. No.	Product/Service	NIC Code	% of total Turnover contributed
1.	Same as question 14	6430	Same as question 14

#### **III. Operations**

#### 16. Number of locations where plants and/or operations/offices of the entity are situated:

Location	Number of plants	Number of offices	Total
National	-	1	1
International	-	-	-

The Company operates from one location from its Registered Office situated in Ludhiana, Punjab, India.

#### 17. Markets served by the entity:

#### a. Number of locations

Locations	Number
National (No. of States)	1
International (No. of Countries)	-

#### b. What is the contribution of exports as a percentage of the total turnover of the entity?: Not Applicable

#### c. A brief on types of customers:

The Company is a non-deposit taking non-banking financial company, primarily involved in making investments. It does not have any customer interface.

# **IV. Employees**

### 18. Details as at the end of Financial Year:

### a. Employees and workers (including differently abled):

S.	Deutieuleus		Male		Female			
No.	Particulars	Total (A)	No. (B)	% (B / A)	No. (C)	% (C / A)		
EMPLOYEES								
1.	Permanent (D)	2	1	50%	1	50%		
2.	Other than Permanent (E)	-	-	-	-	-		
3.	Total employees (D + E)	2	1	50%	1	50%		
		WORKERS	<b>i</b>					
4.	Permanent (F)							
5.	Other than Permanent (G)	Not Applicable						
6.	Total workers (F + G)							

### b. Differently abled Employees and workers:

S.	Particulars		Tatal (A) Male		Female	
No.		Total (A)	No. (B)	% (B / A)	No. (C)	% (C / A)
	DIFFER	ENTLY ABLED	EMPLOYEES	I		I
1.	Permanent (D)					
2.	Other than Permanent (E)	Nil				
3.	Total differently abled employees (D + E)					
	DIFFEF	RENTLY ABLED	WORKERS			
4.	Permanent (F)					
5.	Other than permanent (G)	Not Applicable				
6.	Total differently abled workers (F + G)					

#### 19. Participation/Inclusion/Representation of women (as on 31st March, 2023)

		No. and percentage of Females		
	Total (A)	No. (B)	% (B / A)	
Board of Directors	9	3	33.33%	
Key Management Personnel	2	1	50%	

#### 20. Turnover rate for permanent employees and workers

(Disclose trends for the past 3 years)

	FY2022-23 (Turnover rate in current FY)		FY 2021-22 (Turnover rate in previous FY)			FY 2020-21 (Turnover rate in the year prior to the previous FY)			
	Male	Female	Total	Male	Female	Total	Male	Female	Total
Permanent Employees	-	33%	33%	-	-	-	-	-	-
Permanent Workers	No	ot Applicat	ble	No	ot Applical	ole	N	lot Applicabl	е

### V. Holding, Subsidiary and Associate Companies (including joint ventures)

21 (a) Names of holding / subsidiary / associate companies / joint ventures

S. No.	Name of the holding / subsidiary/ associate companies/ joint ventures (A)	Indicate whether holding/ Subsidiary/ Associate/ Joint Venture	% of shares held by listed entity	Does the entity indicated at column A, participate in the Business Responsibility initiatives of the listed entity? (Yes/No)
1.	Vardhman Textiles Limited.	Associate	28.48%	No
2.	Vardhman Spinning and General Mills Limited.	Associate	50%	No

### **VI. CSR Details**

- 22. (i) Whether CSR is applicable as per section 135 of Companies Act, 2013: (Yes/No): Yes
- (ii) Turnover (in ₹): 5.88 crore
- (iii) Net worth (in ₹): 876.90 crore

#### **VII. Transparency and Disclosures Compliances**

# 23. Complaints/Grievances on any of the principles (Principles 1 to 9) under the National Guidelines on Responsible Business Conduct:

There was no case of violation of the Code of Conduct of the Company and no case was reported under the Company's Vigil Mechanism and Whistle Blower Policy during the year.

	Grievance Redressal Mechanism in Place	Cur	FY 2022-23 rrent Financial Yea	ır	FY 2021-22 Previous Financial Year				
Stakeholder group from whom complaint is received	(Yes/No) (If Yes, then provide web-link for grievance redress policy)	Number of complaints filed during the year	nending	Remarks	Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks		
Communities Investors (other than shareholders)	NA NA	-	-	-	-	-	-		

	Grievance Redressal Mechanism in Place		FY 2022-23 rrent Financial Yea	ar	FY 2021-22 Previous Financial Year				
Stakeholder group from whom complaint is received	(Yes/No) (If Yes, then provide web-link for grievance redress policy)	Number of complaints filed during the year	nending	Remarks	Number of complaints filed during the year	Number of complaints pending resolution at close of the year	Remarks		
Shareholders	Yes		1	N	A	I	I		
Employees and workers	Yes	-	-	-	-	-	-		
Customers	NA	-	-	-	-	-	-		
Value Chain Partners	NA	-	-	-	-	-	-		
Other (please specify)	Not Applicable	NA							

The Code of Conduct of the Company is available at: <a href="https://www.vardhman.com/Document/Report/Company%20Information/">https://www.vardhman.com/Document/Report/Company%20Information/</a> Policies/Vardhman%20Holdings%20Ltd/Code\_of\_Conduct\_for\_Directors\_and\_Senior\_management.pdf

#### 24. Overview of the entity's material responsible business conduct issues

Please indicate material responsible business conduct and sustainability issues pertaining to environmental and social matters that present a risk or an opportunity to your business, rationale for identifying the same, approach to adapt or mitigate the risk along-with its financial implications, as per the following format:

S. No.	Material issue identified	Indicate whether risk or opportunity (R/O)	Rationale for identifying the risk / opportunity	In case of risk, approach to adapt or mitigate	Financial implications of the risk or opportunity (Indicate positive or negative implication)
1.	Corporate Governance	Risk	Impact on the ethical fabric of the organisation	Experienced persons on the Board for maintaining checks and balances; maker checker approach for all processes organisation wide	Negative
2.	Economic performance	Risk	Economic slowdown will affect returns and value of Investments	Diversification of Investment portfolio.	Negative
3.	Quality management	Opportunity	Superior quality to enhance respect and returns		Positive

# SECTION B: MANAGEMENT AND PROCESS DISCLOSURES

This section is aimed at helping businesses demonstrate the structures, policies and processes put in place towards adopting the NGRBC Principles and Core Elements.

Dis	closure Questions	Ρ1	P2	P 3	P 4	P 5	P 6	Р7	P 8	P 9
Ро	licy and management processes									
1.	a. Whether your entity's policy/ policies cover each principle and its core elements of the NGRBCs. (Yes/ No)	Y	N	Y	Y	Y	N	Y	Y	Ν
	b. Has the policy been approved by the Board? (Yes/ No)	Y	NA	N	Y	N	NA	N	Y	NA
	c. Web Link of the Policies, if available	on th	e web	site of	f the C	compa	any ar any at j mpanj	https:/	//www	
2.	Whether the entity has translated the policy into procedures. (Yes / No)	Y	NA	Y	Y	Y	NA	Y	Y	NA
3.	Do the enlisted policies extend to your value chain partners? (Yes/ No)	NA	NA	NA	NA	NA	NA	NA	NA	NA
4.	Name of the national and international codes/certifications/labels/ standards (e.g. Forest Stewardship Council, Fairtrade, Rainforest Alliance, Trustea) standards (e.g. SA 8000, OHSAS, ISO, BIS) adopted by your entity and mapped to each principle.	NA	NA	NA	NA	NA	NA	NA	NA	NA
5.	Specific commitments, goals and targets set by the entity with defined timelines, if any.	Though not set any specific commitment goals, we continue to adhere all the guiding principles.								
6.	Performance of the entity against the specific commitments, goals and targets along-with reasons in case the same are not met.									

#### Governance, leadership and oversight

7. Statement by director responsible for the business responsibility report, highlighting ESG related challenges, targets and achievements (listed entity has flexibility regarding the placement of this disclosure)

The Company is a Non-deposit taking systemically important NBFC registered with RBI. Its sole business is to make investments in equity or other financial instruments. So, the Company's business activity does not call for any ESG related challenges, targets or achievements. However, as a responsible business entity, the Company is well aware of criticality of Environment Conservation and Social Governance.

The Management is committed to follow higher standards of Corporate Governance.

Corporate Social Responsibility is an integral part of the Culture. The CSR projects are focused on participatory and collaborative approach with the community.

8.	Details of the highest authority responsible for implementation and	Mr. S.P. Oswal
	oversight of the Business Responsibility policy (ies).	Chairman & Managing Director
		DIN: 00121737
		Email id: secretarial.lud@vardhman.com
		Tel. No.: 0161- 2228943
9.	Does the entity have a specified Committee of the Board/ Director	Yes, the Risk Management Committee and the
	responsible for decision making on sustainability related issues? (Yes	Corporate Social Responsibility Committee
	/ No). If yes, provide details.	constituted by the Board of Directors of the
		Company evaluate the sustainability related issues
		from time to time.

#### 10. Details of Review of NGRBCs by the Company:

Subject for Review	Indicate whether review was undertaken by Director / Committee of the Board/ Any other Committee					erly/												
	Ρ1	P 2	Р3	P 4	P 5	P 6	Ρ7	P 8	Р9	Ρ1	P 2	P 3	P4	P 5	P6	Р7	P 8	Р9
Performance against above policies and follow up action Compliance with statutory requirements of relevance to the principles, and, rectification of any non-compliances							-		d prii	peric	es as i	are ap	oplica	ble.				-
11. Has the entity carried out indep										Ρ1	P 2	P 3	P4	P 5	P6	P 7	P 8	P 9
working of its policies by an ext of the agency.	ernal	agen	cy? (`	res/N	lo). If <u>:</u>	yes, p	rovid	le nar	ne					Ν				

#### 12. If answer to question (1) above is "No" i.e. not all Principles are covered by a policy, reasons to be stated:

Disclosure Questions	Ρ1	P 2	Р3	P 4	P 5	P 6	Ρ7	P 8	Р9
The entity does not consider the Principles material to its business (Yes/No) The entity is not at a stage where it is in a position to formulate and implement the policies on specified principles (Yes/No) The entity does not have the financial or/ human and technical resources available for the task (Yes/No) It is planned to be done in the next financial year (Yes/No) Any other reason (please specify)	N.A	*		N.A	I	*	N	.A	*

\*Being purely an investment company, this is not applicable

# SECTION C: PRINCIPLE WISE PERFORMANCE DISCLOSURE

This section is aimed at helping entities demonstrate their performance in integrating the Principles and Core Elements with key processes and decisions. The information sought is categorized as "Essential" and "Leadership". While the essential indicators are expected to be disclosed by every entity that is mandated to file this report, the leadership indicators may be voluntarily disclosed by entities which aspire to progress to a higher level in their quest to be socially, environmentally and ethically responsible.

# PRINCIPLE 1

Businesses should conduct and govern themselves with integrity, and in a manner that is Ethical, Transparent and Accountable.

## **Essential Indicators**

#### 1. Percentage coverage by training and awareness programmes on any of the Principles during the financial year:

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Segment	Total number of training and awareness programmes held	Topics/ principles covered under the training and its impact	%age of persons in respective category covered by the awareness programmes
Board of Directors	3	During the year, the	100%
Key Managerial Personnel	3	Board of Directors and Key Managerial Personnel of the Company invested their time on various updates pertaining to the business, regulations, ESG etc.	100%
Employees other than BoD and KMPs	Not Applicable	Not Applicable	Not Applicable
Workers	Not Applicable	Not Applicable	Not Applicable

2. Details of fines / penalties /punishment/ award/ compounding fees/ settlement amount paid in proceedings (by the entity or by directors / KMPs) with regulators/ law enforcement agencies/ judicial institutions, in the financial year, in the following format (Note: the entity shall make disclosures on the basis of materiality as specified in Regulation 30 of SEBI (Listing Obligations and Disclosure Obligations) Regulations, 2015 and as disclosed on the entity's website):

Not Applicable, as no fines or penalties have been imposed in the reporting period.

		Monetary							
	NGRBC Principle	Name of the regulatory/ enforcement agencies/ judicial institutions	Amount (In ₹)	Brief of the Case	Has an appeal been preferred? (Yes/No)				
Penalty/ Fine		1		1	1				
Settlement		NIL							
Compounding fee									

	Non-Monetary						
	NGRBC Principle	Name of the regulatory/ enforcement agencies/ judicial institutions	Brief of the Case	Has an appeal been preferred? (Yes/No)			
Imprisonment Punishment		NIL	I	1			

# 3. Of the instances disclosed in Question 2 above, details of the Appeal/ Revision preferred in cases where monetary or non-monetary action has been appealed.

Case Details	Name of the regulatory /enforcement agencies/ judicial institutions
	Not Applicable

# 4. Does the entity have an anti-corruption or anti-bribery policy? If yes, provide details in brief and if available, provide a web-link to the policy.

Yes, the Vigil Mechanism of the Company, which also incorporates a Whistle Blower Policy in terms of the Uniform Listing Agreement aims to provide a channel to the Employees and Directors to report to the management concerns about unethical behavior, actual or suspected fraud or violation of the Codes of Conduct or Policy. The mechanism provides for adequate safeguards against victimization of Employees and Directors to avail of the mechanism and also provide for direct access to the Chairman/ Chairman of the Audit Committee in exceptional cases.

The Vigil Mechanism/Whistle Blower Policy is available at the company's website link <u>https://www.vardhman.com/Document/</u> Report/Company%20Information/Policies/Vardhman%20Holdings%20Ltd/Vigil\_Mechanism\_and\_Whistle\_Blower\_Policy. pdf

# 5. Number of Directors/KMPs/employees/workers against whom disciplinary action was taken by any law enforcement agency for the charges of bribery/ corruption:

	FY 2022-23	FY 2021-22
Directors	NIL	NIL
KMPs	NIL	NIL
Employees	NIL	NIL
Workers	NIL	NIL

#### 6. Details of complaints with regard to conflict of interest:

		2022-23 nancial Year)	FY 2021-22 (Previous Financial Year)		
	Number	Remarks	Number	Remarks	
Number of complaints received in relation to issues of Conflict of Interest of the Directors	NIL	-	NIL	-	
Number of complaints received in relation to issues of Conflict of Interest of the KMPs	NIL	-	NIL	-	

7. Provide details of any corrective action taken or underway on issues related to fines / penalties / action taken by regulators/ law enforcement agencies/ judicial institutions, on cases of corruption and conflicts of interest. - Not Applicable

### **Leadership Indicators**

#### 1. Awareness programmes conducted for value chain partners on any of the Principles during the financial year:

Total number of awarenessTopics/principles coveredprogrammes heldunder the training		%age of value chain partners covered (by value of business done with such partners) under the awareness programmes
Nil	-	-

# 2. Does the entity have processes in place to avoid/ manage conflict of interests involving members of the Board? (Yes/ No) If Yes, provide details of the same.

VHL has its Code of Conduct which extends to all directors and employees of the Company which aims at maintaining highest standards of business conduct in line with the ethics of the Company, provides guidance in difficult situations involving conflict of interest & moral dilemma and ensures compliance with all applicable laws. All employees have to read and understand this code and agree to abide by it.

The Code of Conduct is available at the Company's web site at the link <u>https://www.vardhman.com/Document/Report/</u> <u>Company%20Information/Policies/Vardhman%20Holdings%20Ltd/Code\_of\_Conduct\_for\_Directors\_and\_Senior\_</u> <u>management.pdf</u>

**PRINCIPLE 2** 

Businesses should provide goods and services in a manner that is sustainable and safe

### **Essential Indicators**

1. Percentage of R&D and capital expenditure (capex) investments in specific technologies to improve the environmental and social impacts of product and processes to total R&D and capex investments made by the entity, respectively.

	(Current Financial	(Previous Financial	Details of improvement in
	Year) FY2022-23	Year) FY2021-22	environmental and social impacts
R&D Capex		Not Applic	able

#### 2. a. Does the entity have procedures in place for sustainable sourcing? (Yes/No)

Not Applicable

b. If yes, what percentage of inputs were sourced sustainably?

Not Applicable

3. Describe the processes in place to safely reclaim your products for reusing, recycling and disposing at the end of life, for (a) Plastics (including packaging) (b) E-waste (c) Hazardous waste and (d) other waste. Not applicable.

The Company is engaged only in investment activities as a Non-Banking Financial Company and does not have any goods and raw materials utilization as a part of its products and services.

4. Whether Extended Producer Responsibility (EPR) is applicable to the entity's activities (Yes / No). If yes, whether the waste collection plan is in line with the Extended Producer Responsibility (EPR) plan submitted to Pollution Control Boards? If not, provide steps taken to address the same.

No, as the Company is engaged purely in Investment activities the requirement of Extended Producer Responsibility (EPR) is not applicable to Company.

### **Leadership Indicators**

1. Has the entity conducted Life Cycle Perspective / Assessments (LCA) for any of its products (for manufacturing industry) or for its services (for service industry)? If yes, provide details in the following format?

NIC Code	Name of Product/ Service	% of total Turnover contributed	Boundary for which the Life Cycle Perspective / Assessment was conducted	Whether conducted by independent external agency (Yes/No)	Results communicated in public domain (Yes/No) If yes, provide the web-link.
			Not Applicable		

2. If there are any significant social or environmental concerns and/or risks arising from production or disposal of your products / services, as identified in the Life Cycle Perspective / Assessments (LCA) or through any other means, briefly describe the same along- with action taken to mitigate the same.

Name of Product/ Service	Description of the risk/ concern	Action Taken
	Not Applicable	

3. Percentage of recycled or reused input material to total material (by value) used in production (for manufacturing industry) or providing services (for service industry).

	Recycled or re-used input material to total material				
Indicate input material	FY2022-23	FY2021-22			
	Current Financial Year	<b>Previous Financial Year</b>			
Not Applicable	1				

4. Of the products and packaging reclaimed at end of life of products, amount (in metric tonnes) reused, recycled, and safely disposed, as per the following format:

	FY 2022	-23 Curren	t Financial Year	FY2021-22 Previous Financial Yea		
	Re-used	Recycled	Safely Disposed	<b>Re-used</b>	Recycled	Safely Disposed
Plastics (including packaging)		I	I			I
E-waste		NIA			NLA	
Hazardous waste	N/				NA	
Other waste						

#### 5. Reclaimed products and their packaging materials (as percentage of products sold) for each product category.

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Indicate product category	Reclaimed products and their packaging materials as % of total products sold in respective category
	Not Applicable

PRINCIPLE 3

Businesses should respect and promote the well-being of all employees, including those in their value chains

### **Essential Indicators**

#### **1.** a. Details of measures for the well-being of employees:

		% of employees covered by											
Category	Tabal	Health insurance		Accident insurance		Maternity benefits		Paternity benefits		<b>Day Care facilities</b>			
Category	Total (A)	Number (B)	%(B/A)	Number (C)	%(C/A)	Number (D)	%(D/A)	Number (E)	% <b>(E/A)</b>	Number (F)	%(F/A)		
Permanent employees													
Male	1	1	100%	1	100%	NA	NA	NA	NA	NA	NA		
Female	1	1	100%	1	100%	1	100%	NA	NA	NA	NA		
Total	2	2	100%	2	100%	1	100%	NA	NA	NA	NA		
				Other t	han Perma	nent empl	oyees						
Male													
Female						NA							
Total													

#### b. Details of measures for the well-being of workers:

	% of workers covered by										
Category	Tatal	Health in	surance	Accident insurance		Maternity benefits		Paternity	benefits	Day Care facilities	
Category	Total (A)	Number (B)	%(B/A)	Number (C)	%(C/A)	Number (D)	%(D/A)	Number (E)	% (E/A)	Number (F)	%(F/A)
Permanent workers											
Male											
Female						NA					
Total											
				Other	than Perm	anent wor	kers				
Male											
Female						NA					
Total											

	FY 2022-:	23 Current Fi	nancial Year	FY2021-22 Previous Financial Year				
	No. of employees covered as a % of total employees	No. of workers covered as a % of total workers	Deducted and deposited with the Authority (Y/N/N.A.)	No. of employees covered as a % of total employees	No. of workers covered as a % of total workers	Deducted and deposited with the authority (Y/N/N.A.)		
PF	NA	NA	NA	NA	NA	NA		
Gratuity	100%	-	Y	100%	-	Y		
ESI	NA	NA	NA	NA	NA	NA		
Others please specify	NA	NA	NA	NA	NA	NA		

#### 2. Details of retirement benefits, for Current Financial Year and Previous Financial Year.

#### 3. Accessibility of workplaces

Are the premises / offices of the entity accessible to differently abled employees and workers, as per the requirements of the Rights of Persons with Disabilities Act, 2016? If not, whether any steps are being taken by the entity in this regard.

Yes.

4. Does the entity have an equal opportunity policy as per the Rights of Persons with Disabilities Act, 2016? If so, provide a web-link to the policy.

The Company is poised to provide equal opportunity to normal employees as well as employees with disabilities. However, there is no formal policy laid in this regard.

#### 5. Return to work and Retention rates of permanent employees and workers that took parental leave.

Gender	Permanent e	mployees	Permanent workers		
	Return to work rate Retention rat		Return to work rate	<b>Retention rate</b>	
Male					
Female	No such instanc	es reported	Not applicable		
Total					

# 6. Is there a mechanism available to receive and redress grievances for the following categories of employees and worker? If yes, give details of the mechanism in brief.

Segment	Yes/No (If Yes, then give details of the mechanism in brief)
Permanent Workers	Nil
Other than Permanent Workers	NA
Permanent Employees	Yes
Other than Permanent Employees	NA

Notice

The Company is a Non-deposit accepting Investment Company. As such, the Company has not employed any worker. As at the end of the financial year, it has only 2 employees on its rolls. The Company has grievance redressal mechanism and all employees can reach out to the Management to address their concerns.

#### 7. Membership of employees and worker in association(s) or Unions recognised by the listed entity:

	FY 2022	-23 Current Financial \	FY2021-22 Previous Financial Year						
Category	Total employees / workers in respective category (A)	No. of employees / workers in respective category, who are part of association(s) or Union (B)	% (B / A)	Total Employees / workers in respective category (C)	No. of employees/ workers in respective category, who are part of association(s) or Union (D)	% (D/C)			
	1	Total Permanent Err	ployees	I	1				
- Male			Ni	I					
- Female			INI	I					
	Total Permanent Workers								
- Male			Not App	licable					
- Female									

#### 8. Details of training given to employees and workers:

	FY 2022-23 Current Financial Year					FY2021-22 Previous Financial Year				
Category	Total	safety measures		On skill upgradation		Total	On Health and safety measures		On Skill upgradation	
	(A)	No. (B)	%(B/A)	No. (C)	%(C/A)	(D)	No. (E)	%(E/D)	No. (F)	%(E/D)
Employees										
Male										
Female					Ν	il				
Total										
				Wor	kers					
Male										
Female					Not App	olicable				
Total										

#### 9. Details of performance and career development reviews of employees and worker:

Category	FY 2022-2	3 Current Fi	nancial Year	FY2021-22 Previous Financial Year						
	Total (A)	No. (B)	% (B / A)	Total (C)	No. (D)	% (D / C)				
Employees										
-Male	1	1	100%	1	1	100%				
-Female	1	1	100%	2	2	100%				
		Workers								
-Male		Not Applicable								
-Female			ΝΟΙ ΑΡ	hiicanie						

#### 10. Health and safety management system:

a. Whether an occupational health and safety management system has been implemented by the entity? (Yes/ No). If yes, the coverage such system?

As the Company is in the business of making investments, there are no critical occupational health and safety risks.

b. What are the processes used to identify work-related hazards and assess risks on a routine and non-routine basis by the entity?

NA

c. Whether you have processes for workers to report the work related hazards and to remove themselves from such risks. (Y/N)

NA

d. Do the employees/ worker of the entity have access to non-occupational medical and healthcare services? (Yes/ No)

Yes.

#### 11. Details of safety related incidents, in the following format:

Safety Incident/Number	Category	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year		
Lost Time Injury Frequency Rate (LTIFR) (per one	Employees	-	-		
million-person hours worked)	Workers	Not applicable			
Total recordable work-related injuries	Employees	-	-		
	Workers	Not ap	plicable		
No. of fatalities	Employees	-	-		
	Workers	Not ap	plicable		
High consequence work-related injury or ill-health	Employees	-	-		
(excluding fatalities)	Workers	Not ap	plicable		

#### 12. Describe the measures taken by the entity to ensure a safe and healthy work place.

The Company has identified health and safety of its employees as one of its focus areas and is focused on building a culture of safety and introducing best practices.

#### 13. Number of Complaints on the following made by employees and workers:

	FY 2022-	23 Current Financia		22 Previous Financia		
	Filed during the year	Pending resolution at the end of year	ding resolution he end of year		Pending resolution at the end of year	Remarks
Working Conditions	Nil	Nil	NA	-	-	NA
Health & Safety	Nil	Nil	NA	-	-	NA

#### 14. Assessments for the year:

	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Health and safety practices Working Conditions	NA

15. Provide details of any corrective action taken or underway to address safety-related incidents (if any) and on significant risks / concerns arising from assessments of health & safety practices and working conditions.

There were no safety related incidents or significant risks/concerns related to health and safety.

#### **Leadership Indicators**

1. Does the entity extend any life insurance or any compensatory package in the event of death of (A) Employees (Y/N) (B) Workers (Y/N).

The Company offers benefits under Group Personal Accident (GPA) insurance.

2. Provide the measures undertaken by the entity to ensure that statutory dues have been deducted and deposited by the value chain partners.

Being purely an Investment Company, this point is not applicable.

3. Provide the number of employees / workers having suffered high consequence work- related injury / ill-health / fatalities (as reported in Q11 of Essential Indicators above), who have been are rehabilitated and placed in suitable employment or whose family members have been placed in suitable employment:

	Total no. of affected	employees/ workers	No. of employees/ workers that are rehabilitated and placed in suitable employment or whose family members have been placed in suitable employment				
	FY 2022-23 (Current Financial Year)	FY 2021-22 (Previous Financial Year)	FY 2022-23 (Current Financial Year)	FY 2021-22 (Previous Financial Year)			
Employees Workers	Ν	lil	Nil				

- 4. Does the entity provide transition assistance programs to facilitate continued employability and the management of career endings resulting from retirement or termination of employment? (Yes/ No) No
- 5. Details on assessment of value chain partners:

	% of value chain partners (by value of business done with such partners) that were assessed					
Health and safety practices	NatApplicable					
Working Conditions	Not Applicable					

6. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from assessments of health and safety practices and working conditions of value chain partners. - Not Applicable

**PRINCIPLE 4** 

Businesses should respect the interests of and be responsive to all its stakeholders

#### **Essential Indicators**

1. Describe the processes for identifying key stakeholder groups of the entity.

The Company recognizes employees and shareholders/ investors as our key stakeholders.

2. List stakeholder groups identified as key for your entity and the frequency of engagement with each stakeholder group.

Stakeholder Group	Whether identified as Vulnerable & Marginalized Group (Yes/ No)	Pamphlets, Advertisement,	Frequency of engagement (Annually/ Half yearly/ Quarterly/ others – please specify)	Purpose and scope of engagement including key topics and concerns raised during such engagement
Employees	No	Email, In House Magazines, Engagement Activities, Employee Satisfaction Surveys.	Regular	Career Growth, Training & Development, Improvement Plans, Long-Term Strategy, Awareness Campaigns, Health & Safety Initiatives.
Shareholders & Investors	No	Annual General Meeting, Email, Stock Exchange intimations, Annual Report, Quarterly Results, Company Website	As and when required	Profitability & Stability, Growth Prospects, Major Events

#### **Leadership Indicators**

# 1. Provide the processes for consultation between stakeholders and the Board on economic, environmental, and social topics or if consultation is delegated, how is feedback from such consultations provided to the Board.

The Company has established several committees dedicated to economic and ESG (Environmental, Social, and Governance) domains to effectively monitor performance in these areas. These include the committees on Audit, Risk Management and CSR.

These committees meet regularly to appraise performance in the respective domains, evaluating the company's achievements, challenges, and opportunities. These evaluations are crucial for identifying areas of improvement and implementing strategies to drive positive change.

These reports are then presented to the Board. The Board actively analyzes reports to gain a holistic understanding of the same.

2. Whether stakeholder consultation is used to support the identification and management of environmental, and social topics (Yes / No). If so, provide details of instances as to how the inputs received from stakeholders on these topics were incorporated into policies and activities of the entity.

Notice

No

3. Provide details of instances of engagement with, and actions taken to, address the concerns of vulnerable/ marginalized stakeholder groups.

The Company actively contribute to the socio-economic development of the Community through its Corporate Social Responsibility projects.

**PRINCIPLE 5** 

**Businesses should respect and promote human rights** 

### **Essential Indicators**

1. Employees and workers who have been provided training on human rights issues and policy(ies) of the entity, in the following format:

	FY 2022	2-23 Current Financial N	⁄ear	FY2021-22 Previous Financial Year						
Category	Total (A)	No. of employees / workers in covered or Union (B)% (B / A)		Total (C)	No. of employees/ workers covered (D)	% (D / C)				
	Employees									
Permanent	-	-	-	-	-	-				
Other than permanent	-	-	-	-	-	-				
Total Employees	-	-	-	-	-	-				
		Workers								
Permanent										
Other than permanent			Not Appl	licable						
Total Workers			NOT APPI							

#### 2. Details of minimum wages paid to employees and workers, in the following format:

	F١	<b>Y 2022-2</b> 3	B Current <b>F</b>	Financial <b>\</b>	(ear	FY2021-22 Previous Financial Year				
Category	Total	Equal Minimum Wage to		More than Minimum Wage		Total	Equal Minimum Wage to		More than Minimum Wage	
	(A)	No. (B)	%(B/A)	No. (C)	%(C/A)	(D)	No. (E)	%(E/D)	No. (F)	%(E/D)
Employees										
Permanent										
Male	1	-	-	1	100%	1	-	-	1	100%
Female	1	-	-	1	100%	2	-	-	2	100%
Other than Permanent										
Male	-	-	-	-	-	-	-	-	-	-
Female	-	-	-	-	-	-	-	-	-	-

	F١	<b>Y 2022-2</b> 3	3 Current I	Financial <b>\</b>	/ear	FY2021-22 Previous Financial Year				Year
Category	Total Equal Minimum Wage to			than m Wage		wage to		More than Minimum Wage		
	(A) (D) (D) (D) (A) (A) (A) (A) (A) (A) (A) (A) (A) (A	(D)	No. (E)	%(E/D)	No. (F)	%(E/D)				
	1	1	1	Workers	l.	I	I	I	I	I
Permanent										
Male	Not Applicable									
Female										
Other than Permanent										
Male										
Female										

#### 3. Details of remuneration/salary/wages, in the following format:

		Male	Female		
Gender	Number	Median remuneration/ salary/ wages of respective category (In lakhs)	Number	Median remuneration/ salary/ wages of respective category	
Board of Directors (BoD)	7	0.50	3	0.35	
Key Managerial Personnel	-	-	1	5.48	
Employees other than BoD and KMP	-	-	-	-	
Workers	-	-	-	-	

# 4. Do you have a focal point (Individual/ Committee) responsible for addressing human rights impacts or issues caused or contributed to by the business? (Yes/No)

Yes, all employees can reach out to the management to address their concerns and the Company also have grievance redressal mechanism.

#### 5. Describe the internal mechanisms in place to redress grievances related to human rights issues.

The Grievance redressal system is governed by the Grievance Handling Policy. The employee having a cause for complaint has a right to present his/her case in writing to the Group HR Head for investigation and consideration within a reasonable period from the date of arising of said cause.

#### 6. Number of Complaints on the following made by employees and workers:

	FY 2022	-23 Current Financial \	(ear	FY2021-22 Previous Financial Yes			
Category	Filed during the year	Pending resolution at the end of year	Remarks	Filed during the year	Pending resolution at the end of year	Remarks	
Sexual Harassment		I				1	
Discrimination at workplace	NIL						
Child Labour							
Forced Labour/Involuntary							
Labour							
Wages							
Other human Rights related							
issues							

#### 7. Mechanisms to prevent adverse consequences to the complainant in discrimination and harassment cases.

There is zero tolerance with regards to concerns of discrimination and sexual harassment. Any concerns related to these issues are dealt with confidentiality. The person found guilty is subject to disciplinary action.

#### 8. Do human rights requirements form part of your business agreements and contracts? (Yes/No)

Yes.

#### 9. Assessments for the year:

	% of your plants and offices that were assessed (by entity or statutory authorities or third parties)
Child labour Forced/involuntary labour Sexual harassment Discrimination at workplace Wages Others – please specify	The Company is in compliance with the laws, as applicable.

10. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from the assessments at Question 9 above.

NA

### **Leadership Indicators**

1. Details of a business process being modified / introduced as a result of addressing human rights grievances/complaints

None, due to NIL grievance.

2. Details of the scope and coverage of any Human rights due-diligence conducted.

None

3. Is the premise/office of the entity accessible to differently abled visitors, as per the requirements of the Rights of Persons with Disabilities Act, 2016?

Yes.

4. Details on assessment of value chain partners:

	% of value chain partners (by value of business done with such partners) that were assessed
Sexual Harassment Discrimination at workplace Child Labour Forced Labour/Involuntary Labour Wages Others – please specify	NA

5. Provide details of any corrective actions taken or underway to address significant risks / concerns arising from the assessments at Question 4 above. - NA

**PRINCIPLE 6** 

Businesses should respect and make efforts to protect and restore the environment

#### **Essential Indicators**

1. Details of total energy consumption (in Joules or multiples) and energy intensity, in the following format:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
Total electricity consumption (A)		
Total fuel consumption (B)		
Energy consumption through other sources (C)		
Total energy consumption (A+B+C)	NA	NA
Energy intensity per rupee of turnover (Total energy consumption/ turnover in rupees)		
Energy intensity (optional) the relevant metric may be selected by the entity		

**Note:** Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. Not Applicable.

2. Does the entity have any sites / facilities identified as designated consumers (DCs) under the Performance, Achieve and Trade (PAT) Scheme of the Government of India? (Y/N). If yes, disclose whether targets set under the PAT scheme have been achieved. In case targets have not been achieved, provide the remedial action taken, if any.

Not Applicable.

#### 3. Provide details of the following disclosures related to water, in the following format:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year	
Water withdrawal by source (in kilolitres)			
(i) Surface water			
(ii) Groundwater			
(iii) Third party water			
(iv) Seawater / desalinated water	Not Applicable as the Company's usage of water is primarily restricted to employees' consumption purposes only.		
(v) Others			
Total volume of water withdrawal (in kilolitres) (i + ii + iii + iv + v)		es only.	
Total volume of water consumption (in kilolitres)			
Water intensity per rupee of turnover (Water consumed / turnover)			
$\underline{\mbox{Water}}$ intensity (optional)–the relevant metric may be selected by the entity			

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - NA

# 4. Has the entity implemented a mechanism for Zero Liquid Discharge? If yes, provide details of its coverage and implementation.

Not applicable.

#### 5. Please provide details of air emissions (other than GHG emissions) by the entity, in the following format:

Please specify unit	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year	
	T		
Not Applicable			
		specify unit Current Financial Year	

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - NA

Parameter	Unit	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
Total Scope 1 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	Metric tonnes of CO2 equivalent		
Total Scope 2 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	Metric tonnes of CO2 equivalent	Not Applicable	Not Applicable
Total Scope 1 and Scope 2 emissions per rupee of turnover			
Total Scope 1 and Scope 2 emission intensity (optional) the relevant metric may be selected by the entity			

#### 6. Provide details of greenhouse gas emissions (Scope 1 and Scope 2 emissions) & its intensity, in the following format:

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - NA

#### 7. Does the entity have any project related to reducing Green House Gas emission? If Yes, then provide details.

No, Being in the business of making investments only, the Company do not generate any emissions/ waste.

#### 8. Provide details related to waste management by the entity, in the following format:

Parameter	FY 2022-23	FY 2021-22 Previous Financial Year	
	Current Financial fear	Previous Financial fear	
Total Waste generated (in metric	tonnes)		
Plastic waste (A)			
E-waste (B)			
Bio-medical waste (C)			
Construction and demolition waste (D)			
Battery waste (E)	Not Applicable	Not Applicable	
Radioactive waste (F)	Not Applicable	Not Applicable	
Other Hazardous waste. Please specify, if any. (G)			
Other Non-hazardous waste generated (H). Please specify, if any. (Break-up			
by composition i.e. by materials relevant to the sector)			
Total (A+B + C + D + E + F + G + H)			
For each category of waste generated, total waste recovered	through recycling, re-us	ing or other	
recovery operations (in metric to	onnes)		
Category of waste			
(i) Recycled			
(ii) Re-used	Not Applicable	Not Applicable	
(iii) Other recovery operations	Not Applicable	Not Applicable	
Total			

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
For each category of waste generated, total waste disposed by nat	ure of disposal method (	in metric tonnes)
Category of waste		
(i) Incineration		
(ii) Landfilling	Not Applicable	Not Applicable
(iii) Other disposal operations	Not Applicable	Not Applicable
Total		

Notice

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - NA

9. Briefly describe the waste management practices adopted in your establishments. Describe the strategy adopted by your company to reduce usage of hazardous and toxic chemicals in your products and processes and the practices adopted to manage such wastes.

Our initiative to reduce waste generation at our offices include using jet hand dryers in washrooms to minimize usage of tissue rolls, printing on both sides of paper and generating awareness in employees to shift to paperless office model.

10. If the entity has operations/offices in/around ecologically sensitive areas (such as national parks, wildlife sanctuaries, biosphere reserves, wetlands, biodiversity hotspots, forests, coastal regulation zones etc.) where environmental approvals / clearances are required, please specify details in the following format:

S. No.	Location of operations/offices	Type of operations	Whether the conditions of environmental approval / clearance are being complied with? (Y/N) If no, the reasons thereof and corrective action taken, if any.		
Not Applicable					

**11.** Details of environmental impact assessments of projects undertaken by the entity based on applicable laws, in the current financial year:

Name and brief details of project	EIA Notification No.	Date	Whether conducted by independent external agency (Yes / No)	Results communicated in public domain (Yes / No)	Relevant Web link
	T	ľ	Not Applicable	1	1

12. Is the entity compliant with the applicable environmental law/ regulations/ guidelines in India; such as the Water (Prevention and Control of Pollution) Act, Air (Prevention and Control of Pollution) Act, Environment protection act and rules thereunder (Y/N). – Yes

If not, provide details of all such non-compliances, in the following format:

		Specify the law / regulation / guidelines which was not complied with	Provide details of the non- compliance		Corrective action taken, if any.
--	--	---	--	--	--

# **Leadership Indicators**

1. Provide break-up of the total energy consumed (in Joules or multiples) from renewable and non-renewable sources, in the following format:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year	
From renewable sources	I		
Total electricity consumption (A)			
Total fuel consumption (B)		Net Annlinghia	
Energy consumption through other sources (C)	Not Applicable	Not Applicable	
Total energy consumed from renewable sources (A+B+C)			
From non-renewable source	es		
Total electricity consumption (D)			
Total fuel consumption (E)	Net Appliaghla	Not Applicable	
Energy consumption through other sources (F)	Not Applicable	Not Applicable	
Total energy consumed from non-renewable sources (D+E+F)			

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. - NA

### 2. Provide the following details related to water discharged:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
Water discharge by destination and level of tre	atment (in kilolitres)	'
(i) To Surface water		
-No treatment		
-With treatment please specify level of treatment		
(ii) To Groundwater		
-No treatment		
-With treatment – please specify level of treatment		
(iii) To Seawater		
-No treatment	Not Applicable	Not Applicable
-With treatment – please specify level of treatment	Not Applicable	Not Applicable
(iv) Sent to third-parties		
-No treatment		
-With treatment – please specify level of treatment		
(v) Others		
-No treatment		
-With treatment – please specify level of treatment		
Total water discharged (in kilolitres)		

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. -NA

# 3. Water withdrawal, consumption and discharge in areas of water stress (in kilolitres): For each facility / plant located in areas of water stress, provide the following information:

Notice

- (i) Name of the area
- (ii) Nature of operations
- (iii) Water withdrawal, consumption and discharge in the following format:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
Water withdrawal by source (in k	ilolitres)	
(i) Surface water		
(ii) Groundwater		
(iii) Third party water		
(iv) Seawater / desalinated water		
(v) Others	Not Applicable	Not Applicable
Total volume of water withdrawal (in kilolitres)		
Total volume of water consumption (in kilolitres)		
Water intensity per rupee of turnover (Water consumed / turnover)		
Water intensity (optional) the relevant metric may be selected by the entity		
Water discharge by destination and level of tr	eatment (in kilolitres)	
(i) Into Surface water		
-No treatment		
-With treatment please specify level of treatment		
(ii) Into Groundwater		
-No treatment		
-With treatment please specify level of treatment		
(iii) Into Seawater		
-No treatment	Net Annlineta	
-With treatment please specify level of treatment	Not Applicable	Not Applicable
(iv) Sent to third-parties		
-No treatment		
-With treatment please specify level of treatment		
(v) Others		
-No treatment		
-With treatment please specify level of treatment		
Total water discharged (in kilolitres)		

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. – NA.

#### 4. Please provide details of total Scope 3 emissions & its intensity, in the following format:

Parameter	Unit	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year
Total Scope 3 emissions (Break-up of the GHG into CO2, CH4, N2O, HFCs, PFCs, SF6, NF3, if available)	Metric tonnes of CO2 equivalent		
Total Scope 3 emissions per rupee of turnover		Not Applicable	Not Applicable
Total Scope 3 emission intensity (optional) the relevant metric may be selected by the entity			

Note: Indicate if any independent assessment/ evaluation/assurance has been carried out by an external agency? (Y/N) If yes, name of the external agency. – NA.

5. With respect to the ecologically sensitive areas reported at Question 10 of Essential Indicators above, provide details of significant direct & indirect impact of the entity on biodiversity in such areas along-with prevention and remediation activities.

Not Applicable

6. If the entity has undertaken any specific initiatives or used innovative technology or solutions to improve resource efficiency, or reduce impact due to emissions / effluent discharge / waste generated, please provide details of the same as well as outcome of such initiatives, as per the following format:

S. No.	Initiative undertaken	Details of the initiative (Web-link, if any, may be provided along-with summary)	Outcome of the initiative
		Not Applicable	1

#### 7. Does the entity have a business continuity and disaster management plan? Give details in 100 words/ web link. -

The company acknowledges the unpredictable nature of emergencies and disasters, which can strike without warning. The Disaster Management Plan forms part of its IT Policy. The purpose of this plan is to specify controls to ensure that our data, applications and systems can be recovered to meet business operational requirements following a disruptive cyber incident.

# 8. Disclose any significant adverse impact to the environment, arising from the value chain of the entity. What mitigation or adaptation measures have been taken by the entity in this regard.

No such incident has occurred.

9. Percentage of value chain partners (by value of business done with such partners) that were assessed for environmental impacts.

Not Applicable.

PRINCIPLE 7

Businesses, when engaging in influencing public and regulatory policy, should do so in a manner that is responsible and transparent

## **Essential Indicators**

- 1. a. Number of affiliations with trade and industry chambers/ associations- Nil
- b. List the top 10 trade and industry chambers/ associations (determined based on the total members of such body) the entity is a member of/ affiliated to.

Notice

S. No.	Name of the trade and industry chambers/ associations	Reach of trade and industry chambers/ associations (State/National)
1	Nil	

2. Provide details of corrective action taken or underway on any issues related to anti- competitive conduct by the entity, based on adverse orders from regulatory authorities.

Name of authority	Brief of the case	Corrective action taken
	Not Applicable	

# **Leadership Indicators**

#### 1. Details of public policy positions advocated by the entity:

S. No.	Public policy advocated	Method resorted for such advocacy	Whether information available in public domain? (Yes/No)	Frequency of Web Review by Link, if Board available (Annually/ Half yearly/ Quarterly/ Others – please specify	Web Link, If available
Not Applicable					

PRINCIPLE 8

Businesses should promote inclusive growth and equitable development

# **Essential Indicators**

1. Details of Social Impact Assessments (SIA) of projects undertaken by the entity based on applicable laws, in the current financial year.

Name and brief details of project	SIA Notification No.	Date of notification	Whether Results conducted by independent in external agency (Yes/No)	Results communicated in public domain (Yes/ No)	Relevant Web link
	1		Not Applicable	1	

# 2. Provide information on project(s) for which ongoing Rehabilitation and Resettlement (R&R) is being undertaken by your entity, in the following format:

S. No.	Name of Project for which R&R is ongoing	State	District	No. of Project Affected Families (PAFs)	% of PAFs covered by R&R	Amounts paid to PAFs in the FY (In ₹)
	1	1	1	Not Applicable	1	

#### 3. Describe the mechanisms to receive and redress grievances of the community.

The stakeholders can send their grievances to the Compliance Officer at secretarial.lud@vardhman.com

#### 4. Percentage of input material (inputs to total inputs by value) sourced from suppliers:

Parameter	FY 2022-23 Current Financial Year	FY 2021-22 Previous Financial Year	
Directly sourced from MSMEs/ small producers	Not Applicable	Not Applicable	
Sourced directly from within the district and neighbouring districts			

#### **Leadership Indicators**

1. Provide details of actions taken to mitigate any negative social impacts identified in the Social Impact Assessments (Reference: Question 1 of Essential Indicators above):

Details of negative social impact identified	Corrective action taken
Not Ap	plicable

2. Provide the following information on CSR projects undertaken by your entity in designated aspirational districts as identified by government bodies:

S. No.	State	Aspirational District	Amount spent (In ₹)
1	Odisha	Koraput	2,25,000

3. (a) Do you have a preferential procurement policy where you give preference to purchase from suppliers comprising marginalized /vulnerable groups? (Yes/No)

No.

(b) From which marginalized /vulnerable groups do you procure?

Not Applicable.

(c) What percentage of total procurement (by value) does it constitute?

Not Applicable.

# 4. Details of the benefits derived and shared from the intellectual properties owned or acquired by your entity (in the current financial year), based on traditional knowledge:

Notice

S. No.	Intellectual Property based on traditional knowledge	Owned/ Acquired (Yes/No)	Benefit shared (Yes/No)	Basis of calculating benefit share
Not Applicable				

5. Details of corrective actions taken or underway, based on any adverse order in intellectual property related disputes wherein usage of traditional knowledge is involved:

Name of authority	Brief of the Case	Corrective action taken
	None	1

#### 6. Details of beneficiaries of CSR Projects:

S. No.	CSR Project	No. of persons benefitted from CSR Projects	% of beneficiaries from vulnerable and marginalized groups
1	Contribution of ₹15.00 lakhs to Hero DMC Heart Institute for the treatment of BPL and needy heart patients	25	100%
2	Sponsoring education fee of five Tribal students of Auro Mira Vidya Mandir, Kechla Odisha.	05	100%
3	Financial contribution to Savera Association for promoting education and Health Awareness among underprivileged children and women	60	100%

**PRINCIPLE 9** 

Businesses should engage with and provide value to their consumers in a responsible manner

#### **Essential Indicators**

#### 1. Describe the mechanisms in place to receive and respond to consumer complaints and feedback.

The Company does not have any direct customer/consumer interface being a Non-deposit accepting Investment Company.

2. Turnover of products and/ services as a percentage of turnover from all products/service that carry information about:

	As a percentage to total turnover
Environmental and social parameters relevant to the product	
Safe and responsible usage Recycling and/or safe disposal	Not Applicable
Recycling and/or safe disposal	

#### 3. Number of consumer complaints in respect of the following:

	FY 2022-23 Current Financial Year		FY2021-22 Previous Financial Year			
	Received during the year	Pending resolution at end of year	Remarks	Received during the year	Pending resolution at end of year	Remarks
Data privacy		•			1	1
Advertising						
Cyber-security						
Delivery of essential services			NIL			
Restrictive Trade Practices						
Unfair Trade Practices						
Other						

#### 4. Details of instances of product recalls on account of safety issues:

	Number	Reasons for recall	
Voluntary recalls	Not Applicable		
Forced recalls			

5. Does the entity have a framework/ policy on cyber security and risks related to data privacy? (Yes/No) If available, provide a web-link of the policy.

Yes. The policy can be accessed on the following link: <u>https://vardhman.com/Document/11.03%20Privacy%20and%20</u> Data%20Protection%20Policy%20-%20PDF.pdf

6. Provide details of any corrective actions taken or underway on issues relating to advertising, and delivery of essential services; cyber security and data privacy of customers; re-occurrence of instances of product recalls; penalty / action taken by regulatory authorities on safety of products / services.

Not Applicable

### **Leadership Indicators**

1. Channels / platforms where information on products and services of the entity can be accessed (provide web link, if available).

The Company is an investment company and does not have a customer interface. However, details about the Company's profile and operations can be accessed at www.vardhman.com.

2. Steps taken to inform and educate consumers about safe and responsible usage of products and/or services.

Not applicable.

3. Mechanisms in place to inform consumers of any risk of disruption/discontinuation of essential services.

Not Applicable.

4. Does the entity display product information on the product over and above what is mandated as per local laws? (Yes/No/ Not Applicable) If yes, provide details in brief. Did your entity carry out any survey with regard to consumer satisfaction relating to the major products / services of the entity, significant locations of operation of the entity or the entity as a whole? (Yes/No)

Not applicable.

- 5. Provide the following information relating to data breaches:
  - a) Number of instances of data breaches along-with impact.

NIL

b) Percentage of data breaches involving personally identifiable information of customers.

NIL